

Sep 2763

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

MAR 13 2000

Total Number of Pages in This Submission

14

Application Number	09/183,732
Filing Date	October 30, 1998
First Named Inventor	Christopher D. Williams
Group Art Unit	2763
Examiner Name	Not Yet Assigned
Attorney Docket Number	042390.P6485

GROUP 2763
MAR 15 2000**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Copies of seven (7) cited references. 2. Return receipt postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

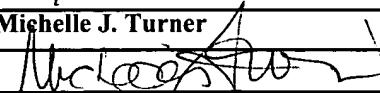
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Aloysius T.C. AuYeung, Reg. No. 35,432 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	3/2/00

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

March 9, 2000

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Date	03/09/00

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MAR 13 2000

PTO/SB/17 (6/99)

Approved for use through 09/30/2000. OMB 0651-0032
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FEE TRANSMITTAL

for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	09/183,732
Filing Date	October 30, 1998
First Named Inventor	Christopher D. Williams
Examiner Name	Not Yet Assigned
Group/Art Unit	2763
Attorney Docket Number	042390.P6485

GROUP 15
MAY 2000
2700

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METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees to:
2. The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920*Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for response within first month	
116	380	216 190 Extension for response within second month	
117	870	217 435 Extension for response within third month	
118	1,210	218 680 Extension for response within fourth month	
128	1,850	228 925 Extension for response within fifth month	
119	300	219 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1,510	138 1510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,210	241 605 Petition to revive - unintentional	
142	1,210	242 605 Utility issue fee (or reissue)	
143	430	243 215 Design issue fee	
144	580	244 290 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	790	246 395 Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249 395 For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Aloysius T.C. AuYeung			Reg. Number	35,432
Signature		Date	3/8/2000	Deposit Account User ID	02-2666

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CHRISTOPHER D. WILLIAMS, ET AL.

Application No.: 09/183,732

Filed: October 30, 1998

For: **METHOD AND APPARATUS FOR CHANNEL
SURFING THROUGH MULTIPLE SOURCES
BASED ON USER-DEFINABLE PREFERENCES**



Art Group: 2763

Examiner: Not Yet Assigned

GROUP 2700

MAR 15 2000

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#2 ROSE
3-18-00

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 1.97(b), Applicants desire to make of record the references set forth on the attached Form PTO-1449 which were cited in a search report dated 24 February 2000 of the corresponding PCT/US99/25429 application. Enclosed is a copy of the search report which indicates the degree of relevance found by the foreign office where documents cited as category X are deemed relevant when taken alone; documents cited as category Y are deemed relevant when taken in combination with another reference; documents cited as category T are directed to the theory or principle underlying the invention; and documents cited as category A are deemed relevant as technological background defining the general state of the art. Copies of these references are submitted herewith.

It is hereby stated that this Information Disclosure Statement is being filed within three months from mailing of the international search report, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicants hereby so petition. Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Aloysius T.C. AuYeung, Reg. No. 35,432

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on:

March 09, 2000.

Michelle J. Turner

03/09/00

Date